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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q65530

Shinya HONDO

Appln. No.: 09/918,497

Group Art Unit: 2661

Confirmation No.: 3221

Examiner: Unknown

Filed: August 1, 2001

For: CDMA RECEIVING APPARATUS AND METHOD

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

**RECEIVED**

**APR 10 2003**

Commissioner for Patents  
Washington, D.C. 20231

**Technology Center 2600**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. WO 00/31889, published June 2, 2000 to Qualcomm Inc.
2. WO 00/38468, published June 29, 2000 to Telefonaktiebolaget LM Ericsson.
3. JP-A-8-125577, published May 17, 1996 to Fujitsu General, Ltd. with English Abstract.
4. JP-A-4-336840, published November 25, 1992 to Toshiba Corp. with English Abstract.
5. WO 99/18703, published April 15, 1999 to Thomson Consumer Electronics, Inc.
6. JP-A-2000-36982, published February 2, 2000 to Matsushita Electric Ind. Co., Ltd. with English Abstract.

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Appln. No. 09/918,497  
Information Disclosure Statement

7. JP-A-9-247045, published September 19, 1997 to Y R P Ido Tsushin Kiban Kijiyutsu Kenkyusho:KK with English Abstract.
8. JP-A-2001-94472, published April 6, 2001 to Toshiba Corp. with English Abstract.
9. JP-A-11-251959, published September 17, 1999 to Fujitsu Ltd. with English Abstract.
10. JP-A-11-355244, published December 24, 1999 to NEC Corp. with English Abstract.
11. JP-A-9-312881, published December 2, 1997 to N T T Ido Tsushinmo KK with English Abstract.
12. JP-A-2001-197554, published July 19, 2001 to Mitsubishi Electric Corp. with English Abstract.
13. JP-A-11-88941, published March 30, 1999 to NTT Mobil Communication Network, Inc. with English Abstract.
14. WO 99/56475, published November 4, 1999 to Telefonaktiebolaget LM Ericsson.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Japanese Office Action dated February 4, 2003 with an English translation of the pertinent portions thereof which cite such documents and indicate the degree of relevance found by the foreign patent office.

Shinya Hondo  
Appln. No. 09/918,497  
Information Disclosure Statement

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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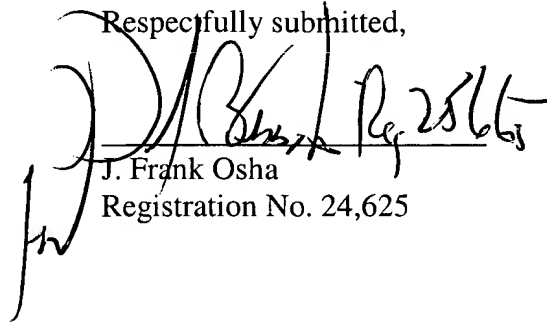
WASHINGTON OFFICE



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PATENT TRADEMARK OFFICE

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read "J. Frank Osha".  
J. Frank Osha  
Registration No. 24,625

Date: APR 09 2003

Substitute for Form 1449 A & B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	09/918,497
				Confirmation Number	3221
				Filing Date	August 01, 2001
				First Named Inventor	Shinya HONDO
				Art Unit	2661
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	Q65530

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			<b>RECEIVED</b>  <b>APR 10 2003</b>  <b>Technology Center 2600</b>
		US			
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		WO	00/31889	A1	06-02-2000	Qualcomm Inc.	
		WO	00/38468	A1	06-29-2000	Telefonaktiebolaget LM Ericsson	
		JP	08-125577	A	05-17-1996	Fujitsu General Ltd.	Abstract
		JP	04-336840	A	11-25-1992	Toshiba Corp.	Abstract
		WO	99/18703	A1	04-15-1999	Thomson Consumer Electronics	
		JP	2000-36982	A	02-02-2000	Matsushita Electric Ind. Co., Ltd.	Abstract
		JP	09-247045	A	09-19-1997	Y R P Ido Tsushin Kiban Kijiyutsu	Abstract
		JP	2001-94472	A	04-06-2001	Toshiba	Abstract
		JP	11-251959	A	09-17-1999	Fujitsu Ltd.	Abstract
		JP	11-355244	A	12-24-1999	NEC Corp.	Abstract
		JP	09-312881	A	12-02-1997	N T T Ido Tsushinmo KK	Abstract
		JP	2001-197554	A	07-19-2001	Mitsubishi Electric Corp.	Abstract
		JP	11-88941	A	03-30-1999	NTT Mobil Commun. Network Inc.	Abstract
		WO	99/56475	A1	04-11-1999	Telefonaktiebolaget LM Ericsson	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.